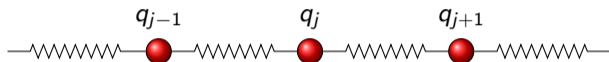


# The Monoatomic FPU System As a Limit of a Diatomic FPU System

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joint work with Guido Schneider (University of Stuttgart, Germany)

# The Fermi-Pasta-Ulam problem



- System of particles on the line
- Hamiltonian for nearest neighbour interactions is given by  $H = \sum_j \frac{1}{2} \dot{q}_j^2 + V(q_{j+1} - q_j)$
- Equations of motion are given by  $\ddot{q}_j = V'(q_{j+1} - q_j) - V'(q_j - q_{j-1})$
- Potential  $V(q) = \frac{1}{2}q^2 + \frac{1}{3}\alpha q^3 + \frac{1}{4}\beta q^4 + \dots$
- Numerical experiments showed long-time recurrent formations of solitary waves (FPU, 1955)

Main question: Can we describe dynamics by reducing the FPU to an integrable system?

# KdV limit for small-amplitude and long-scale waves

- Ansatz in the strain variables:

$$r_j(t) = q_{j+1}(t) - q_j(t) := \varepsilon^2 R(\varepsilon(j-t), \varepsilon^3 t) + \text{error}$$

- Approximation satisfies the FPU system up to  $O(\varepsilon^6)$  if  $R$  satisfies the KdV equation:

$$\partial_\tau R + \alpha R \partial_\xi R + \frac{1}{24} \partial_\xi^3 R = 0$$

KdV is an integrable system with asymptotic stability of solitons and stability of periodic solutions.

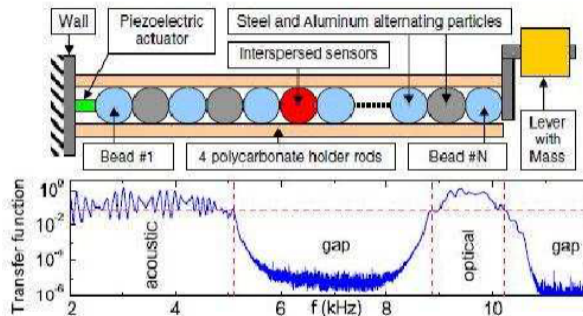
- First derivation: N. Zabusky and M. Kruskal (1965)
- Rigorous justification: Schneider–Wayne (1999), Friesecke–Pego (1999–2004), Bambusi–Ponno (2005–2006)
- Follow-up work: generalized KdV (Dumas–P., 2014), KdV on extended time intervals (Khan–P., 2017), polyatomic case (Gaison–Moskow–Wright–Zhang, 2014), nonlocal interaction (Herrmann–Mikikits–Leitner, 2016), and more.

# Algorithm for justification of reduced models from FPU models

- 1 Find the best coordinates to transform the problem.
- 2 Check that the reduced model formally arises in the appropriate limit of the transformed equations.
- 3 Define **error terms** to **the leading-order terms** and obtain **residual equations**.
- 4 Control the error terms from the residual equations in suitable norms by using the energy conservation, approximation estimates, and Gronwall inequality.
- 5 Check that the reduced models have smooth solutions which are compatible with the estimates.

I will illustrate this algorithm with three recent examples.

# Case Study 1: Modeling of granular chains



- Granular chains contain densely packed, elastically interacting particles with Hertzian forces.
- N. Boechler, G. Theocharis, P.G. Kevrekidis, M.A. Porter, C. Daraio (2001-present days).

## Logarithmic KdV equation

Granular chains are modeled with Newton's equations of motion:

$$\ddot{q}_j = V'(q_{j+1} - q_j) - V'(q_j - q_{j-1})$$

where  $V$  is the contact interaction potential for spherical beads (H. Hertz, 1882):

$$V(q) = \begin{cases} |q|^{1+\alpha}, & q < 0, \\ 0, & q > 0 \end{cases} \quad \alpha = \frac{3}{2}.$$

For beads with hollows,  $\alpha \rightarrow 1$ . If  $\alpha = 1 + \epsilon^2$ , then one can write for  $r_j = -(q_{j+1} - q_j) \geq 0$ :

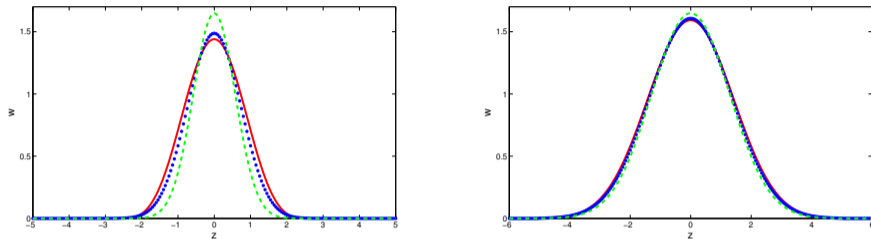
$$\ddot{r}_j - \Delta r_j = \Delta [r_j (|r_j|^\epsilon - 1)] = \epsilon \Delta r_j \log r_j + \mathcal{O}(\epsilon^2).$$

If  $r_j(t) = R(\xi, \tau) + \text{error}$  with  $\xi := 2\sqrt{3}\epsilon(j - t)$ ,  $\tau := \sqrt{3}\epsilon^3 t$ , then we obtain the log-KdV equation

$$\partial_\tau R + \partial_\xi (R \log R) + \partial_\xi^3 R = 0.$$

A.Chatterjee (1999); G.James–D.P (2014).

# Justification of log-KdV



**Figure:** Solitary waves of the FPU system (blue) in comparison with the Gaussian solitons of the log-KdV equation (green) for  $\alpha = 1.5$  (left) and  $\alpha = 1.1$  (right).

$$\partial_{\tau} R + \partial_{\xi}(R \log R) + \partial_{\xi}^3 R = 0 \quad \Rightarrow \quad R(\xi, \tau) = ce^{-(\xi - c\tau)^2/4 + 1/2}.$$

# Justification of log-KdV

## Theorem (R. Carles–D.P, 2014)

For any  $R_0 \in X$  in the set

$$X := \left\{ R \in H^1(\mathbb{R}) : R\sqrt{|\log|R|} \in L^2(\mathbb{R}) \right\}.$$

there exists a global solution  $R \in L^\infty(\mathbb{R}, X)$  to the log-KdV equation such that

$$\|R(\tau, \cdot)\|_{L^2} \leq \|R_0\|_{L^2}, \quad E(R(\tau, \cdot)) \leq E(R_0), \quad \text{for all } \tau > 0,$$

where

$$E(R) = \frac{1}{2} \int_{\mathbb{R}} [(R_\xi)^2 - R^2 \log|R|] d\xi.$$



# Justification of log-KdV

- 1 Find the best coordinates to transform the problem.
- 2 Check that the reduced model formally arises in the appropriate limit of the transformed equations.
- 3 Define **error terms** to **the leading-order terms** and obtain **residual equations**.
- 4 Control the error terms from the residual equations in suitable norms by using the energy conservation, approximation estimates, and Gronwall inequality.
- 5 Check that the reduced models have smooth solutions which are compatible with the estimates.

A way around the problem is to consider pre-compression with strictly positive solutions:

$R(\tau, \xi) \geq R_0 > 0$  for every  $(\tau, \xi)$ .

# Justification of log-KdV

## Theorem (E. Dumas–D.P, 2014)

Let  $R \in C^0([0, \tau_0], H_{\text{loc}}^s(\mathbb{R}))$  be a solution of the log-KdV equation for some  $s \geq 6$  and  $\tau_0 > 0$  such that  $R(t, \cdot) \geq R_0 > 0$  for  $\tau \in [0, \tau_0]$ . Then there exist  $\epsilon_0 > 0$  and  $C_0 > 0$  such that for every  $\epsilon \in (0, \epsilon_0)$ , the unique solution  $r \in C^1([0, \tau_0/\epsilon^3], \ell^2(\mathbb{Z}))$  with appropriately chosen initial data satisfies

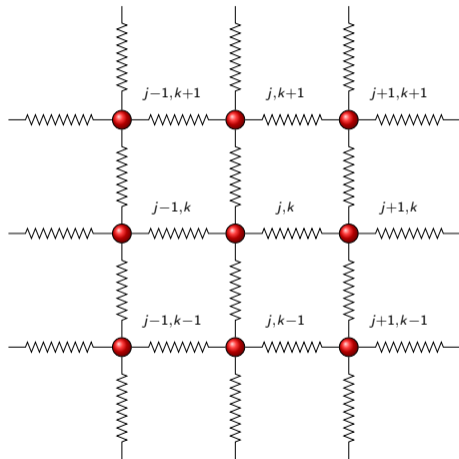
$$\|r(t) - R(2\sqrt{3}\epsilon(\cdot - t), \sqrt{3}\epsilon^3 t)\|_{\ell^2} \leq C_0\epsilon^{3/2}, \quad t \in [0, \tau_0/\epsilon^3].$$

The approximation result between solutions on the grid and solutions on the line is given by

$$\|u\|_{\ell^2(\mathbb{Z})} \leq C_s \epsilon^{-1/2} \|U\|_{H^s(\mathbb{R})},$$

where  $u_j = U(\epsilon j)$  with  $U \in H^s(\mathbb{R})$  for integer  $s \geq 1$ .

## Case Study 2: Modeling of transverse modulations



# KP-II limit for small-amplitude, long-scale, transversely modulated waves

There exist two versions of the two-dimensional generalization of the KdV equation:

$$\text{(KP-I)} \quad \partial_\xi(\partial_\tau R + \alpha R \partial_\xi R + \frac{1}{24} \partial_\xi^3 R) - \partial_\eta^2 R = 0$$

and

$$\text{(KP-II)} \quad \partial_\xi(\partial_\tau R + \alpha R \partial_\xi R + \frac{1}{24} \partial_\xi^3 R) + \partial_\eta^2 R = 0$$

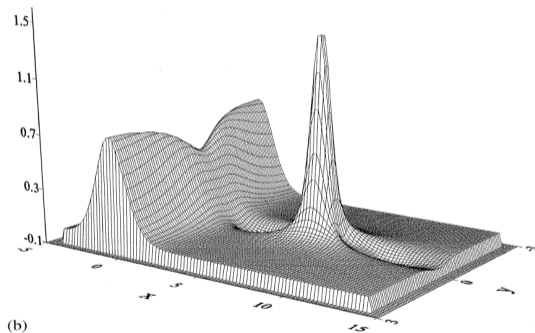
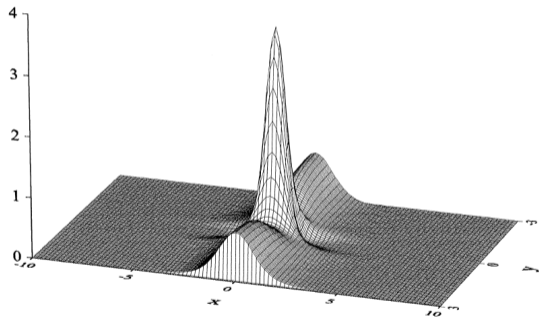
For water waves, (KP-I) arises for problems with surface tension and (KP-II) arises for gravity waves.

For Bose–Einstein condensates (defocusing Gross–Pitaevskii equation), only (KP-I) arises in the asymptotic reduction on the nonzero background.

For the FPU lattice on the square lattice, only (KP-II) arises in the asymptotic reduction.

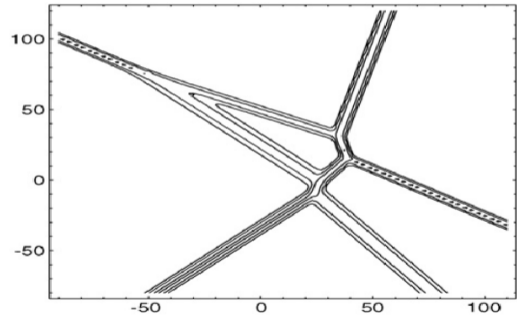
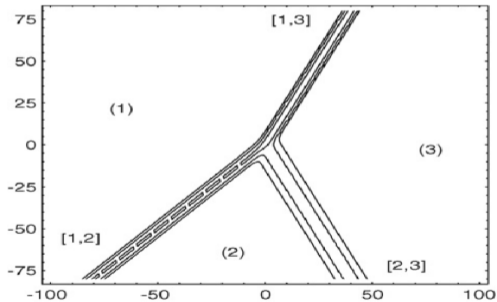
# KP-I limit

Line solitary and periodic waves are unstable for KP-I and the perturbations evolve into two-dimensional solitons called lumps.



# KP-II limit

Line solitary and periodic waves are transversally stable for KP-II (Mizumachi, 2015; Haragus, Li, P, 2017), and form stable web patterns in the plane.



# Scalar 2D FPU model

$$H = \sum_{(m,n)} \frac{1}{2} \dot{q}_{m,n}^2 + \frac{1}{2} (q_{m+1,n} - q_{m,n})^2 + \frac{1}{3} \alpha (q_{m+1,n} - q_{m,n})^3 + \frac{1}{2} \varepsilon^2 (q_{m,n+1} - q_{m,n})^2$$

- Duncan–Eilbeck–Zakharov (1991) formally derived KP-II equation

$$\partial_\xi (\partial_\tau R + \alpha R \partial_\xi R + \frac{1}{24} \partial_\xi^3 R) + \partial_\eta^2 R = 0$$

- Rigorous justification of the KP-II limit has been an open problem for 30 years!
- It was only justified recently: Gallone–Pasquali (Nonlinearity, 2021) on  $\mathbb{T}^2$ ; Hristov–P (ZAMP, 2022) on  $\mathbb{R}^2$ ; P–Schneider (SIAM J. Appl. Math., 2023) on  $\mathbb{T}^2$  for oblique propagation.

## Strain variables

The scalar model can be expressed in the strain variables as:

$$\begin{cases} \dot{u}_{m,n} = w_{m+1,n} - w_{m,n}, \\ \dot{v}_{m,n} = w_{m,n+1} - w_{m,n}, \\ \dot{w}_{m,n} = V'(u_{m,n}) - V'(u_{m-1,n}) + V'(v_{m,n}) - V'(v_{m,n-1}), \end{cases}$$

where  $V'(u) = u - u^2$  will be used for simplifications.

We can eliminate  $w_{m,n}$  and get

$$\begin{cases} \ddot{u}_{m,n} = V'(u_{m+1,n}) - 2V'(u_{m,n}) + V'(u_{m-1,n}) \\ \quad + V'(v_{m+1,n}) - V'(v_{m+1,n-1}) - V'(v_{m,n}) + V'(v_{m,n-1}), \\ \ddot{v}_{m,n} = V'(v_{m,n+1}) - 2V'(v_{m,n}) + V'(v_{m,n-1}) \\ \quad + V'(u_{m,n+1}) - V'(u_{m-1,n+1}) - V'(u_{m,n}) + V'(u_{m-1,n}), \end{cases}$$

There exists still a compatibility condition between  $u_{m,n}$  and  $v_{m,n}$ .



# Fourier transform

With Fourier transform the system converts into the form:

$$\begin{cases} \partial_t^2 \hat{u} = -(\omega_k^2 + \omega_l^2) \hat{u} + \omega_k^2 (\hat{u} * \hat{u}) - (e^{-ik} - 1)(1 - e^{il})(\hat{v} * \hat{v}), \\ \partial_t^2 \hat{v} = -(\omega_k^2 + \omega_l^2) \hat{v} + \omega_l^2 (\hat{v} * \hat{v}) - (e^{-il} - 1)(1 - e^{ik})(\hat{u} * \hat{u}). \end{cases}$$

where  $\omega_k^2 := 2 - 2 \cos(k)$ .

The compatibility condition between  $u_{m,n}$  and  $v_{m,n}$  can be expressed easier in the Fourier form as

$$(e^{-ik} - 1) \hat{v}(k, l, t) = (e^{-il} - 1) \hat{u}(k, l, t).$$

## Formal limit for arbitrary propagation direction

The leading order approximation for an arbitrary angle  $\phi$  can be expressed by

$$u_{m,n}(t) = \varepsilon^2 A(X, Y, T), \quad v_{m,n}(t) = \varepsilon^2 B(X, Y, T),$$

where

$$X = \varepsilon((\cos \phi)m + (\sin \phi)n - t), \quad Y = \varepsilon^2(-(\sin \phi)m + (\cos \phi)n), \quad T = \varepsilon^3 t.$$

This yields the KP-II equation

$$\begin{aligned} -2\partial_X \partial_T A &= \frac{1}{12} [(\cos \phi)^4 + (\sin \phi)^4] \partial_X^4 A + \partial_Y^2 A \\ &\quad - (\cos \phi)^2 \partial_X^2 (A^2) - (\sin \phi)(\cos \phi) \partial_X^2 (B^2) \end{aligned}$$

and the compatibility condition

$$(\cos \phi) \partial_X B = (\sin \phi) \partial_X A$$

up to the leading order.

## Justification result for $\phi = 0$

### Theorem (Hristov–P, 2022)

Let  $A \in C^0([0, \tau_0], H^s(\mathbb{R}^2))$  be a solution to the KP-II equation with fixed integer  $s \geq 9$ , whose initial data satisfies  $A_0 \in H^s(\mathbb{R}^2)$ ,  $\partial_X^{-2} \partial_Y^2 A_0 \in H^s(\mathbb{R}^2)$ , and

$$\partial_X^{-1} \partial_Y^2 (\partial_X^{-2} \partial_Y^2 A_0 + A_0^2) \in H^{s-6}(\mathbb{R}^2).$$

Then there exist  $\varepsilon_0 > 0$  and  $C_0 > 0$  such that for every  $\varepsilon \in (0, \varepsilon_0)$ , the unique solution of the 2D FPU system satisfies for  $t \in [0, \tau_0 \varepsilon^{-3}]$

$$\|u_{m,n}(t) - \varepsilon^2 A(\varepsilon(m-t), \varepsilon^2 n, \varepsilon^3 t)\|_{\ell^2} + \|v_{m,n}(t)\|_{\ell^2} + \|w_{m,n}(t) + \varepsilon^2 A(\varepsilon(m-t), \varepsilon^2 n, \varepsilon^3 t)\|_{\ell^2} \leq C_0 \varepsilon^{5/2}.$$

The approximation result between solutions on the grid and solutions on  $\mathbb{R}^2$  is given by

$$\|u\|_{\ell^2(\mathbb{Z}^2)} \leq C_s \varepsilon^{-3/2} \|U\|_{H^s(\mathbb{R}^2)},$$

where  $u_j = U(\varepsilon m, \varepsilon^2 n)$  with  $U \in H^s(\mathbb{R}^2)$  for integer  $s \geq 2$ .

## Justification result for $\phi \neq 0$

We need to control solutions of the original KP-II equation with additional requirement:

$$\partial_X^{-1} \partial_Y (A^2) \in H^{s-6}$$

However, this is impossible on  $\mathbb{R}^2$  (L. Molinet, J.-C. Saut, and N. Tzvetkov, 2002).

On other hand, working on torus  $\mathbb{T}^2$  (Bourgain, 1993), if the mean value of  $A$  in  $X$  is independent of  $Y$ , then  $\partial_X^{-3} \partial_Y^3 A$  is controllable in  $H^s(\mathbb{T}^2)$  and so is  $\partial_X^{-1} \partial_Y (A^2)$ .

As a result, we have justified the KP-II equation for an arbitrary direction of propagation on  $\mathbb{T}^2$ , but not on  $\mathbb{R}^2$  (P-Schneider, 2023). The justification result also extends to the line solitary waves (no transverse modulations) for an arbitrary direction of propagation (the KdV equation).

## Case Study 3: The monoatomic FPU as a limit of a diatomic FPU



The Hamiltonian is

$$H = \sum_{j \in 2\mathbb{Z}} \frac{1}{2} \dot{Q}_j^2 + \frac{1}{2} \varepsilon^2 \dot{q}_{j+1}^2 + V(q_{j+1} - Q_j) + V(Q_j - q_{j-1}),$$

where  $\varepsilon$  is the mass ratio between light and heavy particles and  $V'(u) = u + u^2$  will be used.

## Formal limit of small-mass ratio

Equations of motion:

$$\begin{aligned}\ddot{Q}_j &= V'(q_{j+1} - Q_j) - V'(Q_j - q_{j-1}), \\ \varepsilon^2 \ddot{q}_{j+1} &= V'(Q_{j+2} - q_{j+1}) - V'(q_{j+1} - Q_j),\end{aligned}$$

where  $j \in 2\mathbb{Z}$ .

The small-mass limit  $\varepsilon = 0$  is satisfied if

$$q_{j+1} = \frac{Q_{j+2} + Q_j}{2},$$

for which the scalar FPU system arises:

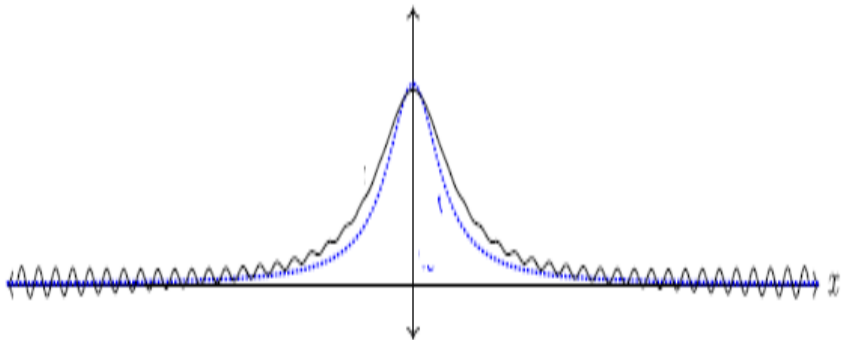
$$\ddot{Q}_j = V' \left( \frac{Q_{j+2} - Q_j}{2} \right) - V' \left( \frac{Q_j - Q_{j-2}}{2} \right).$$

K. Jayaprakash, Y. Starosvetsky, A. Vakakis, PRE 83 (2011) 11

# Solitary waves with and without exponentially small tails

Generally, the traveling solitary waves have oscillatory tails which are exponentially small in  $\varepsilon$ .

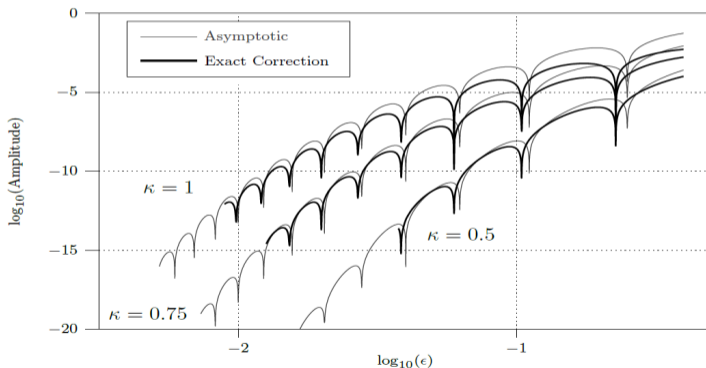
A. Hoffman, J. D. Wright (2017); T. Faver, J. D. Wright (2018); C. Lustrì, M. Porter (2018)



# Solitary waves with and without exponentially small tails

However, for a sequence of special values of  $\{\varepsilon_n\}_{n \in \mathbb{N}}$  such that  $\varepsilon_n \rightarrow 0$  as  $n \rightarrow \infty$ , the traveling solitary waves are fully localized without oscillatory tails.

K. Jayaprakash, Y. Starosvetsky, A. Vakakis (2011); C. Lustrì, M. Porter (2018)





## Justification result

### Theorem (P–Schneider, 2020)

Assume that  $Q^* \in C^1([0, T_0], \ell^2)$  is a suitable solution to the monoatomic FPU system

$$\ddot{Q}_j = V' \left( \frac{Q_{j+2} - Q_j}{2} \right) - V' \left( \frac{Q_j - Q_{j-2}}{2} \right).$$

There exist  $\varepsilon_0 > 0$  and  $C_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$  if  $[Q(0), q(0)] \in \ell^2 \times \ell^2$  satisfy

$$\sup_{j \in 2\mathbb{Z}} |Q_j(0) - Q_j^*(0)| + \left| q_{j+1}(0) - \frac{1}{2}(Q_j^*(0) + Q_{j+2}^*(0)) \right| \leq \varepsilon,$$

then the unique solution to the diatomic FPU system satisfies for every  $t \in [0, T_0]$ :

$$\sup_{j \in 2\mathbb{Z}} |Q_j(t) - Q_j^*(t)| + \left| q_{j+1}(t) - \frac{1}{2}(Q_j^*(t) + Q_{j+2}^*(t)) \right| \leq C_0 \varepsilon.$$

## Justification result

The approximation result is nontrivial since the right-hand side of the vector field is  $\mathcal{O}(\varepsilon^{-2})$ :

$$\begin{aligned}\ddot{Q}_j &= V'(q_{j+1} - Q_j) - V'(Q_j - q_{j-1}), \\ \ddot{q}_{j+1} &= \varepsilon^{-2} [V'(Q_{j+2} - q_{j+1}) - V'(q_{j+1} - Q_j)].\end{aligned}$$

If

$$q_{j+1} = \frac{1}{2}(Q_j + Q_{j+2}) + \mathcal{O}(\varepsilon),$$

then Gronwall's inequality gives only estimates on the time scale of  $\mathcal{O}(\varepsilon)$ . The theorem ensures proximity on the natural time scale of  $\mathcal{O}(1)$  for which dynamics of the FPU system is nontrivial.

## Justification result

- 1 Find the best coordinates to transform the problem.
- 2 Check that the reduced model formally arises in the appropriate limit of the transformed equations.
- 3 Define **error terms** to **the leading-order terms** and obtain **residual equations**.
- 4 Control the error terms from the residual equations in suitable norms by using the energy conservation, approximation estimates, and Gronwall inequality.
- 5 Check that the reduced models have smooth solutions which are compatible with the estimates.

# Proof of the justification result

- 1 Find the best coordinates to transform the problem.

We are using the coordinates:

$$U_j := \frac{1}{2}(Q_{j+2} - Q_j) \quad \text{and} \quad w_{j+1} := q_{j+1} - \frac{1}{2}(Q_{j+2} + Q_j).$$

It turns out that the same choice of coordinates was made in [A. Hoffman, J. D. Wright \(2017\)](#).

The diatomic FPU system is now written as

$$\begin{aligned} \ddot{U}_j + V'(U_j) + w_{j+1}^2 &= \frac{1}{2}V'(U_{j+2} + w_{j+3}) + \frac{1}{2}V'(U_{j-2} - w_{j-1}), \\ \varepsilon^2 \ddot{w}_{j+1} + (2 + \varepsilon^2)w_{j+1}(1 + 2U_j) &= -\frac{\varepsilon^2}{2}V'(U_{j+2} + w_{j+3}) + \frac{\varepsilon^2}{2}V'(U_{j-2} - w_{j-1}), \end{aligned}$$

where  $V'(u) = u + u^2$  is used for simplicity.

## Proof of the justification result

- 2 Check that the reduced model formally arises in the appropriate limit of the transformed equations.

We have rewritten the diatomic FPU system in the form:

$$\begin{aligned}\ddot{U}_j + V'(U_j) + w_{j+1}^2 &= \frac{1}{2}V'(U_{j+2} + w_{j+3}) + \frac{1}{2}V'(U_{j-2} - w_{j-1}), \\ \varepsilon^2 \ddot{w}_{j+1} + (2 + \varepsilon^2)w_{j+1}(1 + 2U_j) &= -\frac{\varepsilon^2}{2}V'(U_{j+2} + w_{j+3}) + \frac{\varepsilon^2}{2}V'(U_{j-2} - w_{j-1}).\end{aligned}$$

If  $\varepsilon = 0$  and  $w_{j+1} = 0$ , then the strain variable  $U_j$  satisfies the monoatomic FPU lattice

$$\ddot{U}_j = \frac{1}{2}V'(U_{j+2}) + \frac{1}{2}V'(U_{j-2}) - V'(U_j).$$

## Proof of the justification result

3 Define **error terms** to the **leading-order terms** and obtain **residual equations**.

We have rewritten the diatomic FPU system in the form:

$$\begin{aligned}\ddot{U}_j + V'(U_j) + w_{j+1}^2 &= \frac{1}{2}V'(U_{j+2} + w_{j+3}) + \frac{1}{2}V'(U_{j-2} - w_{j-1}), \\ \varepsilon^2 \ddot{w}_{j+1} + (2 + \varepsilon^2)w_{j+1}(1 + 2U_j) &= -\frac{\varepsilon^2}{2}V'(U_{j+2} + w_{j+3}) + \frac{\varepsilon^2}{2}V'(U_{j-2} - w_{j-1}).\end{aligned}$$

Let  $\Psi$  satisfy

$$\ddot{\Psi}_j = \frac{1}{2}V'(\Psi_{j+2}) + \frac{1}{2}V'(\Psi_{j-2}) - V'(\Psi_j).$$

The error terms are  $U - \Psi$  and  $w$ . The residual terms are

$$\text{Res}_{U,j} = 0, \quad \text{Res}_{w,j} = -\frac{\varepsilon^2}{2}V'(\Psi_{j+2}) + \frac{\varepsilon^2}{2}V'(\Psi_{j-2}).$$

# Proof of the justification result

- 4 Control the residual terms in suitable norm.

The residual terms are controlled by

$$\sup_{t \in [0, T_0]} \|\text{Res}_w\|_{\ell^2} \leq C\varepsilon^2,$$

as long as  $\Psi \in C([0, T_0], \ell^2)$ .

# Proof of the justification result

## 4 Control the error term in suitable norm from the energy conservation

The error terms in the decomposition

$$U_j = \Psi_j + \varepsilon R_j \quad \text{and} \quad w_{j+1} = \varepsilon v_{j+1}.$$

are controlled from the energy function

$$E(t) = \frac{1}{2} \sum_{j \in 2\mathbb{Z}} \dot{R}_j^2 + R_j^2 + \varepsilon^2 \dot{v}_{j+1}^2 + 2v_{j+1}^2 + 2\Psi_j(R_j^2 + 2v_{j+1}^2) + 4\varepsilon R_j v_{j+1}^2,$$

as long as

$$\sup_{t \in [0, T_0]} \sup_{j \in 2\mathbb{Z}} |\Psi_j(t)| < \frac{1}{4}.$$



# Proof of the justification result

- 4 Control the energy function from the balance equation and Gronwall's inequality.

$$\frac{d}{dt}E(t) \leq C_1E(t)^{1/2} + C_2E(t) + C_3\varepsilon E(t)^{3/2}, \quad t \in [0, T_0].$$

$$E(t)^{1/2} \leq \left[ E(0)^{1/2} + (2C_2)^{-1}C_1 \right] e^{2C_2t}, \quad t \in [0, T_0].$$

as long as  $\varepsilon E(t)^{1/2} \leq C_2/C_3$ .

## Proof of the justification result

- 5 Check that the reduced models have smooth solutions which are compatible with the estimates.

We have assumed that  $\Psi \in C^1([0, T_0], \ell^2)$  is a solution of

$$\ddot{\Psi}_j = \frac{1}{2} V'(\Psi_{j+2}) + \frac{1}{2} V'(\Psi_{j-2}) - V'(\Psi_j)$$

such that

$$\sup_{t \in [0, T_0]} \sup_{j \in 2\mathbb{Z}} |\Psi_j(t)| < \frac{1}{4}.$$

Since the monoatomic system is Hamiltonian with the conserved energy

$$H_{\text{FPU}} = \sum_{j \in 2\mathbb{Z}} \frac{1}{2} \dot{\psi}_j^2 + \psi_j^2 + \frac{2}{3} \psi_j^3,$$

the constraint is satisfied at least for sufficiently small initial data.

# Summary

- With three motivational examples, I have illustrated the justification analysis of obtaining nice integrable systems as reduction of non-integrable FPU systems.
- One of the major concerns is to verify that the reduced system admits nice smooth solutions which would justify the reduction.
- The other points to take home is that the approximation result should hold on times sufficiently long to observe nontrivial dynamics of the reduced system.
- The justification analysis relies on the choice of the energy function which always originates from the energy conservation of the original FPU system.