

# Krein signature in $\mathcal{PT}$ -symmetric systems

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Conference on Mathematics of Wave Phenomena, Karlsruhe, Germany  
July 23–27, 2018

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  - W.R. Hamilton (1833)
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  - J. Boussinesq (1872)

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# Hamiltonian Systems

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- Krein signature in finite-dimensional Hamiltonian systems
  - R.S. MacKay (1985)
- Stability in infinite-dimensional Hamiltonian systems
  - M. Grillakis, J. Shatah, W. Strauss (1990);
  - T. Kapitula, P.G. Kevrekidis, B. Sanstede (2004);
  - S. Cuccagna, D.P., V. Vougalter (2005);
  - M. Haragus, T. Kapitula (2006);
  - M. Chugunova, D.P (2010);
  - A. Stefanov, T. Kapitula (2013);
  - many others.

## Stability of critical points in Hamiltonian systems

Consider an abstract Hamiltonian dynamical system

$$\frac{du}{dt} = J H'(u), \quad u(t) \in X$$

where  $X$  is the phase space,  $J : X \mapsto X$  is a skew-adjoint operator with a bounded inverse  $J^{-1} = -J$ , and  $H : X \rightarrow \mathbb{R}$  is the Hamilton function.

- Assume existence of a critical point  $u_0 \in X$  such that  $H'(u_0) = 0$ .
- Perform linearization  $u(t) = u_0 + ve^{\lambda t}$ , where  $\lambda$  is the spectral parameter and  $v \in X$  satisfies the spectral problem

$$JH''(u_0)v = \lambda v,$$

where  $H''(u_0) : X \rightarrow X$  is a self-adjoint Hessian operator.

- If there exists  $\lambda$  with  $\operatorname{Re}(\lambda) > 0$  and  $v \in X$ , then  $u_0$  is called *spectrally unstable*. Otherwise,  $u_0$  is *spectrally stable*.

## Main Question

### Assume:

- The spectrum of  $H''(u_0)$  is strictly positive except for finitely many negative and zero eigenvalues of finite multiplicity.
- The spectrum of  $JH''(u_0)$  is purely imaginary except for finitely many unstable eigenvalues.
- Multiplicity of the zero eigenvalue of  $JH''(u_0)$  is given by the number of parameters in  $u_0$  (symmetries).

**Question:** Is there a relation between unstable eigenvalues of  $JH''(u_0)$  and eigenvalues of  $H''(u_0)$  in the spectral problem

$$JH''(u_0)v = \lambda v.$$

# Orbital Stability Theorem for Hamiltonian Systems

Consider the spectral stability problem:

$$JH''(u_0)v = \lambda v, \quad v \in X,$$

under the same assumptions on  $J$  and  $H''(u_0)$ . Eigenvalues  $\lambda$  appear in pairs relative to the imaginary axis:  $\lambda$  and  $-\bar{\lambda}$ .

## Stability Theorem (Grillakis–Shatah–Strauss, 1990)

Assume zero eigenvalue of  $H''(u_0)$  of multiplicity  $N$  and related  $N$  symmetries/conserved quantities. If  $H''(u_0)$  has no negative eigenvalues under  $N$  constraints, then  $JH''(u_0)$  has no unstable eigenvalues and an orbit of  $u_0$  is linearly and nonlinearly stable.

# Negative Index Theorem for Hamiltonian Systems

## Stability Theorem (Kapitula–Promislow, 2013)

Assume no symmetries/zero eigenvalues of  $H''(u_0)$ . Then,

$$N_{\text{re}}(JH'') + N_{\text{c}}(JH'') + N_{\text{im}}^-(JH'') = N_{\text{neg}}(H'') < \infty,$$

where

- $N_{\text{re}}$  - number of real unstable eigenvalues;
- $N_{\text{c}}$  - number of complex unstable eigenvalues;
- $N_{\text{im}}^-$  - number of imaginary eigenvalues of negative Krein signature.

## Definition (Krein signature)

Suppose that  $\lambda \in i\mathbb{R} \setminus \{0\}$  is a simple isolated eigenvalue of  $JH''$  with the eigenvector  $v$ . The quadratic form  $\langle H''v, v \rangle_{L^2} = \lambda \langle J^{-1}v, v \rangle_{L^2}$  is nonzero and its sign is called the Krein signature of the eigenvalue  $\lambda$ .



## Example: degree-2 Hamiltonian system

Consider energy

$$H = \frac{1}{2}(y_1^2 + y_2^2) + \frac{1}{2}(-\lambda_1^2 x_1^2 - \lambda_2^2 x_2^2)$$

The quadratic form for  $H$  has **two positive** and **two negative** eigenvalues.

Both oscillators are **unstable**:

$$\begin{cases} \dot{x}_1 = y_1, \\ \dot{x}_2 = y_2, \\ \dot{y}_1 = \lambda_1^2 x_1, \\ \dot{y}_2 = \lambda_2^2 x_2, \end{cases} \Rightarrow \begin{cases} \ddot{x}_1 - \lambda_1^2 x_1 = 0, \\ \ddot{x}_2 - \lambda_2^2 x_2 = 0. \end{cases}$$

Negative index count:

$$N_{\text{re}}(JH) = 2 = N_{\text{neg}}(H)$$

## Example: degree-2 Hamiltonian system

Consider energy

$$H = \frac{1}{2}(y_1^2 - y_2^2) + \frac{1}{2}(\omega_1^2 x_1^2 - \omega_2^2 x_2^2)$$

The quadratic form for  $H$  has **two positive** and **two negative** eigenvalues.

The two oscillators are nevertheless **stable**:

$$\begin{cases} \dot{x}_1 = y_1, \\ \dot{x}_2 = -y_2, \\ \dot{y}_1 = -\omega_1^2 x_1, \\ \dot{y}_2 = \omega_2^2 x_2, \end{cases} \quad \Rightarrow \quad \begin{cases} \ddot{x}_1 + \omega_1^2 x_1 = 0, \\ \ddot{x}_2 + \omega_2^2 x_2 = 0. \end{cases}$$

Negative index count:

$$N_{\text{im}}^-(JH) = 2 = N_{\text{neg}}(H)$$

## Example: degree-2 Hamiltonian system

Consider energy

$$H = \frac{1}{2}(y_1^2 - y_2^2) + \omega^2 x_1 x_2$$

The quadratic form for  $H$  has **two positive** and **two negative** eigenvalues.

The two oscillators are **unstable** with a quadruplet of complex eigenvalues:

$$\begin{cases} \dot{x}_1 = y_1, \\ \dot{x}_2 = -y_2, \\ \dot{y}_1 = -\omega^2 x_2, \\ \dot{y}_2 = -\omega^2 x_1, \end{cases} \Rightarrow \begin{cases} \ddot{x}_1 + \omega^2 x_2 = 0, \\ \ddot{x}_2 - \omega^2 x_1 = 0, \end{cases} \Rightarrow x_1^{(4)} + \omega^4 x_1 = 0.$$

Negative index count:

$$N_c(JH) = 2 = N_{\text{neg}}(H)$$

## Properties of Krein quantity

### Definition (Krein quantity)

Suppose that  $\lambda \in i\mathbb{R} \setminus \{0\}$  is a simple isolated eigenvalue of  $JH''$  with the eigenvector  $v$ . The quadratic form

$$K(\lambda) := \langle H''v, v \rangle_{L^2} = \lambda \langle J^{-1}v, v \rangle_{L^2}$$

is called the Krein quantity of the eigenvalue  $\lambda$ .

### Lemma (Krein quantity properties)

Suppose that  $\lambda \in \mathbb{C} \setminus \{0\}$  is a simple isolated eigenvalue of  $JH''$ . Then:

1.  $K(\lambda_0) \in \mathbb{R}$ .
2.  $K(\lambda_0) \neq 0$  if  $\lambda_0 \in i\mathbb{R}$ .
3.  $K(\lambda_0) = 0$  if  $\lambda_0 \in \mathbb{C} \setminus \{i\mathbb{R}\}$ .

## Necessary condition for instability bifurcation

Consider a perturbed spectral problem

$$J(H'' + \varepsilon W)v = \lambda v, \quad v \in X, \quad (*)$$

where  $\varepsilon \ll 1$  is a perturbation parameter and  $W$  is a symmetric bounded operator in  $X$ .

### Instability Theorem

Suppose  $\lambda_1(\varepsilon), \lambda_2(\varepsilon)$  are eigenvalues of  $(*)$  continuously depending on  $\varepsilon \in \mathbb{R}$ . If  $\lambda_1, \lambda_2 \in i\mathbb{R}$  with  $K(\lambda_1)K(\lambda_2) < 0$  for  $\varepsilon < 0$  and  $\lambda_1, \lambda_2$  coalesce at  $\varepsilon = 0$ , then, under a certain non-degeneracy condition,  $\lambda_1(\varepsilon), \lambda_2(\varepsilon)$  are complex for  $\varepsilon > 0$ .

# Linear $\mathcal{PT}$ -symmetric systems

## Definition ( $\mathcal{P}$ and $\mathcal{T}$ operators)

Parity transformation  $\mathcal{P}$  and time reversal transformation  $\mathcal{T}$ :

$$\mathcal{P}u(x, t) := u(-x, t), \quad \mathcal{T}u(x, t) := \overline{u(x, -t)}.$$

## Definition

A linear operator  $L : X \rightarrow X$  is  $\mathcal{PT}$ -symmetric if it commutes with  $\mathcal{PT}$ :

$$[L, \mathcal{PT}] = L\mathcal{PT} - \mathcal{PT}L = 0.$$

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$$[L, \mathcal{PT}] = L\mathcal{PT} - \mathcal{PT}L = 0.$$

A  $\mathcal{PT}$ -symmetric operator  $L$  may have only real eigenvalues.

- $\mathcal{PT}$  symmetry in quantum mechanics (C.M. Bender, 1998)
- $\mathcal{PT}$ -symmetry in nonlinear optics (D.N. Christodoulides *et al.* 2008)

## Examples of $\mathcal{PT}$ -symmetric operators

Consider a Schrödinger operator on  $X = L^2(\mathbb{R})$ :

$$L = -\partial_x^2 + V(x), \quad \text{where} \quad \bar{V}(-x) = V(x).$$

- a harmonic oscillator with a linear damping term

$$V(x) = x^2 + 2i\gamma x = (x + i\gamma)^2 + \gamma^2$$

The spectrum of  $L$  is purely discrete and real

$$\sigma(L) = \{\gamma^2 + 1 + 2m, \quad m \in \mathbb{N}_0\}.$$



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The spectrum of  $L$  is purely discrete and real

$$\sigma(L) = \{\gamma^2 + 1 + 2m, \quad m \in \mathbb{N}_0\}.$$

- an unharmonic oscillator

$$V(x) = x^2(-ix)^\gamma.$$

The spectrum of  $L$  is purely discrete and real for  $\gamma > 0$  (C.M. Bender, S.Boettcher 1998).

## Properties of linear $\mathcal{PT}$ -symmetric systems

Consider the evolution system

$$i \frac{du}{dt} = Lu, \quad u(\cdot, t) \in X,$$

where  $L\mathcal{PT} - \mathcal{PT}L = 0$ .

If  $u(t)$  is a solution of the evolution equation, then

$$v(t) = \mathcal{PT}u(t) = \mathcal{P}\bar{u}(-t)$$

is also a solution of the same system.

## Properties of linear $\mathcal{PT}$ -symmetric systems

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### Lemma

If  $\mu$  is an eigenvalue and  $U$  is an eigenfunction, then  $\bar{\mu}$  is also an eigenvalue with the eigenfunction  $\mathcal{PT}U$ :

$$u(t) = Ue^{-i\mu t} \quad \Rightarrow \quad v(t) = \mathcal{P}\bar{U}e^{-i\bar{\mu}t}.$$

## Linear $\mathcal{PT}$ -symmetric systems

Consider a spectral problem for the  $\mathcal{PT}$ -symmetric linear operator  $L$ :

$$Lv = \mu v, \quad v \in X,$$

where  $L\mathcal{P}T - \mathcal{P}TL = 0$ .

### Theorem (S.Nixon, J.Yang, 2016)

The spectral problem can be written in the Hamiltonian form

$$JHv = \lambda v,$$

where  $J = i\mathcal{P}$ ,  $H = \mathcal{P}L$ , and  $\lambda = i\mu$ .

**Proof:**  $(i\mathcal{P})(\mathcal{P}L)v = i\mu v$ ,

$H^* = L^*\mathcal{P} = \mathcal{P}L = H$ ,

$J^* = -\mathcal{P}i = -J$ .

## Krein quantity in linear $\mathcal{PT}$ -symmetric systems

The spectral problem with the  $\mathcal{PT}$ -symmetric  $L$ :

$$Lv = \mu v \quad \Leftrightarrow \quad (i\mathcal{P})(\mathcal{P}L)v = i\mu v.$$

### Definition (Krein quantity)

Suppose that  $\mu \in \mathbb{R} \setminus \{0\}$  is a simple isolated eigenvalue of  $L$  with the eigenvector  $v$ . The Krein quantity of the eigenvalue  $\mu$  is

$$K(\mu) := \langle \mathcal{P}Lv, v \rangle = \mu \langle \mathcal{P}v, v \rangle$$

### Lemma (Krein quantity properties)

Suppose that  $\mu \in \mathbb{C} \setminus \{0\}$  is a simple isolated eigenvalue of  $L$ . Then:

1.  $K(\mu_0) \in \mathbb{R}$ .
2.  $K(\mu_0) \neq 0$  if  $\mu_0 \in \mathbb{R}$ .
3.  $K(\mu_0) = 0$  if  $\mu_0 \in \mathbb{C} \setminus \{\mathbb{R}\}$ .

## Stability of the linear $\mathcal{PT}$ -symmetric systems

The spectral problem for the  $\mathcal{PT}$ -symmetric linear operator  $L$ :

$$Lv = \mu v \quad \Leftrightarrow \quad (i\mathcal{P})(\mathcal{P}L)v = i\mu v$$

with

$$L = -\partial_x^2 + x^2 + 2i\gamma x, \quad L = -\partial_x^2 + x^2(-ix)^\gamma.$$

For  $\gamma = 0$ :  $L$  is positive with  $\mu > 0$ , but  $\mathcal{P}L$  has  $\infty$ -many eigenvalues of positive Krein signature and  $\infty$ -many eigenvalues of negative Krein signature:

$$K(\mu) = \langle \mathcal{P}Lv, v \rangle = \mu \langle \mathcal{P}v, v \rangle.$$

- Orbital Stability Theorem - **NO**
- Negative Index Theorem - **NO**
- Instability Bifurcation Theorem - **YES**

Infinitely many eigenvalues may become unstable.

## Example of a discrete Schrödinger equation

Consider the spatially extended  $PT$ -symmetric potential,

$$-(u_{n+1} + u_{n-1}) + (n^2 + 2i\gamma n) u_n = \mu u_n, \quad n \in \mathbb{Z}.$$

By using the discrete Fourier transform, the spectral problem is transformed to the differential equation

$$\frac{d^2 \hat{u}}{dk^2} + 2\gamma \frac{d\hat{u}}{dk} + [\mu + 2 \cos(k)] \hat{u}(k) = 0,$$

subject to the  $2\pi$ -periodicity of  $\hat{u}(k)$ .

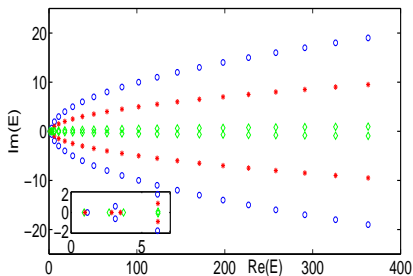
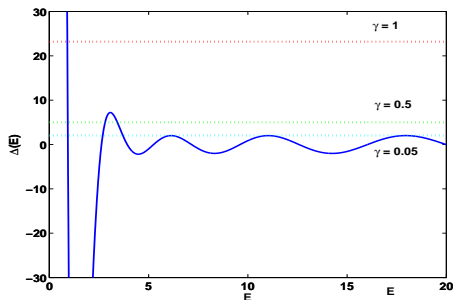
(D.P, P.Kevrekidis, D.Frantzeskakis, 2013)

## Example of a discrete Schrödinger equation

If  $\hat{v}(k) = \hat{u}(k)e^{\gamma k}$ , then  $\hat{v}(k)$  satisfies the Mathieu equation:

$$\frac{d^2 \hat{v}}{dk^2} + [\mu - \gamma^2 + 2 \cos(k)] \hat{v} = 0,$$

subject to the condition  $\hat{v}(k + 2\pi) = e^{2\pi\gamma} \hat{v}(k)$ . Hence we look for the Floquet multiplier  $\mu_* = e^{2\pi\gamma}$  of the monodromy matrix.





# Nonlinear $\mathcal{PT}$ -symmetric systems

**Main Question:** How to extend the Krein quantity and related results to nonlinear  $\mathcal{PT}$ -symmetric systems?

$$i\partial_t\psi = [-\partial_x^2 + V(x) + i\gamma W(x)] \psi \pm |\psi|^2\psi,$$

where  $V, W : \mathbb{R} \rightarrow \mathbb{R}$ :  $V(x) = V(-x)$ ,  $W(-x) = -W(x)$ , e.g.

- Wadati potential:  $V(x) = \operatorname{sech}^2(x)$ ,  $W(x) = \operatorname{sech}(x) \tanh(x)$ ;
- Confining potential:  $V(x) = x^2$ ,  $W(x) = xe^{-x^2/2}$ .

This scalar model is different from dimers (coupled NLS systems), where some progress has been done:

- N.Alexeeva-I.Barashenkov-Yu.Kivshar (2012,2017);
- M.Stanislavova-A. Stefanov (2017);
- A. Chernyavsky-D.P. (2016).

## Spectral stability problem

Stationary state:  $\psi(t, x) = \Phi(x)e^{-i\mu t}$ ,  $\mu \in \mathbb{R}$ ,  $\Phi : \mathbb{R} \rightarrow \mathbb{C}$ .

$$\mu\Phi = [-\partial_x^2 + V(x) + i\gamma W(x)]\Phi \pm |\Phi|^2\Phi,$$

$\Phi$  satisfies the  $\mathcal{PT}$  symmetry:  $\Phi = \mathcal{PT}\Phi$  or  $\Phi(x) = \bar{\Phi}(-x)$ .

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$\Phi$  satisfies the  $\mathcal{PT}$  symmetry:  $\Phi = \mathcal{PT}\Phi$  or  $\Phi(x) = \bar{\Phi}(-x)$ .

Linearization near  $\Phi$ :

$$\begin{aligned}\psi(t, x) &= e^{-i\mu t} \left[ \Phi(x) + Y(x)e^{-\lambda t} \right], \\ \bar{\psi}(t, x) &= e^{i\mu t} \left[ \bar{\Phi}(x) + Z(x)e^{-\lambda t} \right],\end{aligned}$$

where  $\lambda \in \mathbb{C}$  is spectral parameter:

$$\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix} \begin{bmatrix} Y \\ Z \end{bmatrix} = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

where  $L_0 = -\partial_x^2 + V$  and  $\sigma_3 = \text{diag}(1, -1)$ .

## Krein quantity

The spectral problem

$$\underbrace{\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix}}_{\mathcal{L}} \begin{bmatrix} Y \\ Z \end{bmatrix} = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

and the adjoint spectral problem

$$\underbrace{\begin{bmatrix} L_0 - i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 + i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix}}_{\mathcal{L}^*} \begin{bmatrix} Y_a \\ Z_a \end{bmatrix} = i\bar{\lambda}\sigma_3 \begin{bmatrix} Y_a \\ Z_a \end{bmatrix}, \quad (**)$$

where  $L_0 = -\partial_x^2 + V$  and  $\sigma_3 = \text{diag}(1, -1)$ .

**Main problem: no relations between eigenvectors and adjoint eigenvectors.**

## Krein quantity

The spectral problem

$$\underbrace{\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix}}_{\mathcal{L}} \begin{bmatrix} Y \\ Z \end{bmatrix} = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

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where  $L_0 = -\partial_x^2 + V$  and  $\sigma_3 = \text{diag}(1, -1)$ .

### Lemma

If  $\lambda_0 \in i\mathbb{R}$  is simple, the eigenvectors are  $\mathcal{PT}$ -symmetric, e.g.  $Y = \mathcal{PT}Y$  or  $Y(x) = \bar{Y}(-x)$ .

## Krein quantity

The spectral problem

$$\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \overline{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix} \begin{bmatrix} Y \\ Z \end{bmatrix} = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

where  $L_0 = -\partial_x^2 + V$  and  $\sigma_3 = \text{diag}(1, -1)$ .

### Definition (Krein signature)

Let  $\lambda_0 \in i\mathbb{R} \setminus \{0\}$  be a simple isolated eigenvalue of the problem (\*) with the eigenvector  $(Y, Z)$  and the adjoint eigenvector  $(Y_a, Z_a)$ . The Krein signature of  $\lambda_0$  is the sign of the Krein quantity

$$K(\lambda_0) := \left\langle \sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \begin{bmatrix} Y_a \\ Z_a \end{bmatrix} \right\rangle = \int_{\mathbb{R}} [Y(x)\overline{Y_a(x)} - Z(x)\overline{Z_a(x)}] dx.$$

## Krein quantity

The spectral problem

$$\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix} \begin{bmatrix} Y \\ Z \end{bmatrix} = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

where  $L_0 = -\partial_x^2 + V$  and  $\sigma_3 = \text{diag}(1, -1)$ .

### Lemma (Krein quantity properties)

Assume that there exists a simple isolated eigenvalue  $\lambda_0 \in \mathbb{C} \setminus \{0\}$  of the spectral problem (\*). Then:

1.  $K(\lambda_0) \in \mathbb{R}$ .
2.  $K(\lambda_0) \neq 0$  if  $\lambda_0 \in i\mathbb{R}$ .
3.  $K(\lambda_0) = 0$  if  $\lambda_0 \in \mathbb{C} \setminus \{i\mathbb{R}\}$ .

## Krein quantity

The spectral problem

$$\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix} \begin{bmatrix} Y \\ Z \end{bmatrix} = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

where  $L_0 = -\partial_x^2 + V$  and  $\sigma_3 = \text{diag}(1, -1)$ .

### Theorem (Necessary conditions for instability bifurcation)

Suppose  $\lambda_1(\varepsilon), \lambda_2(\varepsilon)$  are eigenvalues of (\*) continuously depending on  $\varepsilon \in \mathbb{R}$ . If  $\lambda_1, \lambda_2 \in i\mathbb{R}$  with  $K(\lambda_1)K(\lambda_2) < 0$  for  $\varepsilon < 0$  and  $\lambda_1, \lambda_2$  coalesce into a defective eigenvalue at  $\varepsilon = 0$ , then, under a certain non-degeneracy condition,  $\lambda_1(\varepsilon), \lambda_2(\varepsilon)$  are complex for  $\varepsilon > 0$ .



## Behind the proof.

Assume self-adjointness of  $L_0 = -\partial_x^2 + V$  on  $L^2(\mathbb{R})$  and  $W \in L^\infty(\mathbb{R})$ .

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Nonlinear problem:

$$\mu\Phi = [-\partial_x^2 + V(x) + i\gamma W(x)] \Phi \pm |\Phi|^2\Phi,$$

Assume existence of  $\Phi \in H^2(\mathbb{R})$  with real-analytic dependence on  $(\gamma, \mu)$ .

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**Spectral problem:**

$$\underbrace{\begin{bmatrix} L_0 + i\gamma W - \mu + 2|\Phi|^2 & \Phi^2 \\ \bar{\Phi}^2 & L_0 - i\gamma W - \mu + 2|\Phi|^2 \end{bmatrix}}_{\mathcal{L}} \underbrace{\begin{bmatrix} Y \\ Z \end{bmatrix}}_v = -i\lambda\sigma_3 \begin{bmatrix} Y \\ Z \end{bmatrix}, \quad (*)$$

Assume existence of a double defective eigenvalue  $\lambda_0$  for  $(\gamma_0, \mu_0)$  with eigenvector  $v_0$  and generalized eigenvector  $v'_0$ :

$$\mathcal{L}_0 v_0 = -i\lambda_0\sigma_3 v_0, \quad \mathcal{L}_0 v'_0 = -i\lambda_0\sigma_3 v'_0 - i\sigma_3 v_0.$$

## Behind the proof.

Fix  $\mu$ . The operator family  $\mathcal{L} : H^2(\mathbb{R}) \subset L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$  is real-analytic in  $\gamma$  at  $\gamma_0$  with

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Since  $\lambda_0$  is a defective eigenvalue, use the Puiseux expansions:

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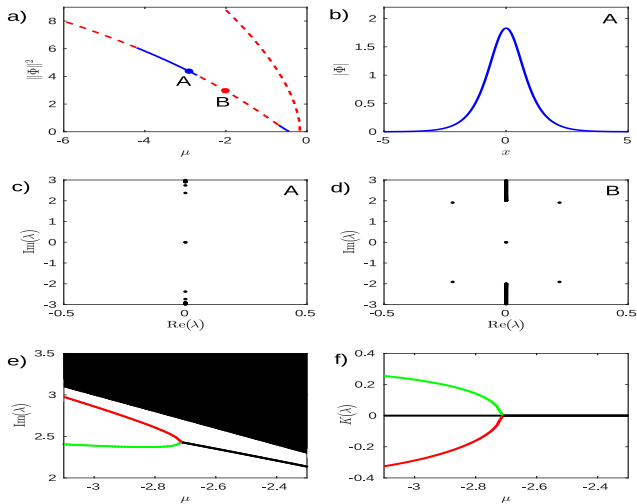
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Fredholm theory gives

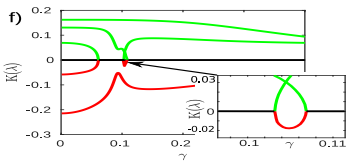
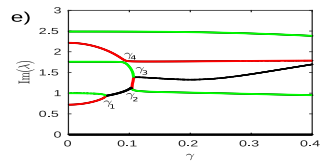
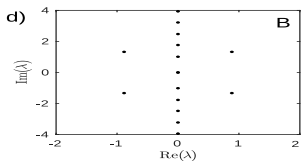
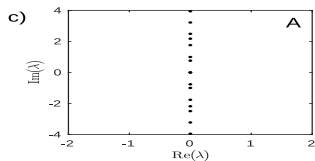
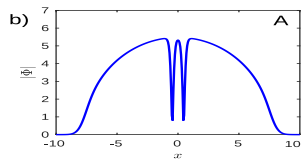
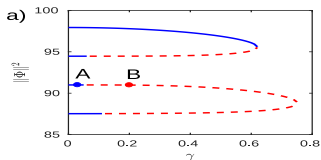
$$(-i\lambda_1)^2 = \frac{\langle \mathcal{L}_1 \nu_0, \nu_{0a} \rangle}{\sigma_3 \langle \nu_1, \nu_{0a} \rangle}.$$

The inner products are real and the splitting takes place if  $\langle \mathcal{L}_1 \nu_0, \nu_{0a} \rangle \neq 0$ . Justification is given by the Lyapunov-Schmidt reduction method.

# Numerics: $V(x) = -2 \operatorname{sech}^2 x + i2.21 \operatorname{sech} x \tanh x$

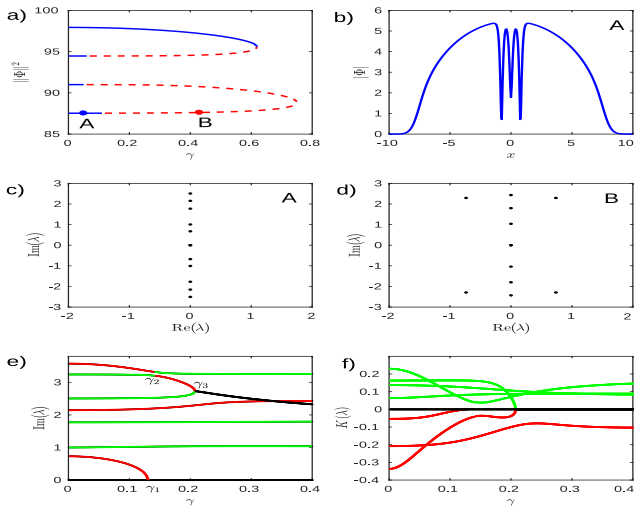


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Thank you!